

# **Second-Order Quantifier Elimination – Applications, Variations and Methods**

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1. **Introduction**
2. Some Applications of SOQE
3. Variations of SOQE and Related Operations
4. SOQE Methods
5. Conclusion

## Second-Order Quantifier Elimination (SOQE)

Input: A formula **with second-order quantifiers**

Output: An **equivalent** formula **without second-order quantifiers**

$$\begin{aligned}\exists p ((q \rightarrow p) \wedge (p \rightarrow r)) &\equiv ((q \rightarrow \perp) \wedge (\perp \rightarrow r)) \\ &\vee ((q \rightarrow \top) \wedge (\top \rightarrow r)) \\ &\equiv q \rightarrow r\end{aligned}$$

$$\exists p (\forall x (qx \rightarrow px) \wedge \forall x (px \rightarrow rx)) \equiv \forall x (qx \rightarrow rx)$$

$$\exists p ((q \rightarrow pa) \wedge \forall x (px \rightarrow rx)) \equiv q \rightarrow ra$$

$$\exists p ((q \rightarrow pa) \wedge (pb \rightarrow r)) \equiv a = b \rightarrow (q \rightarrow r)$$

## SOQE Timeline

- 19th century: Algebra of Logic (Boole, Peirce, **Schröder**)
- 1910-20s: Early modern predicate logic (Löwenheim, Skolem, Behmann)
- **1935: Ackermann**
- 1950s: Craig
- **1990s: SCAN (Gabbay, Ohlbach), DLS (Doherty, Łukaszewicz, Szałas)**
- 2008: Monograph (Gabbay, Schmidt, Szałas)
- 2017: Workshop SOQE
- Major application areas in computational logic:
  - Modal correspondence
  - Circumscription
  - Description logic knowledge bases:  
Forgetting / uniform interpolation, modularization

## Predicate Quantification: Basic Properties and Intuitions

- We split the set of all predicates into disjoint sets  $P$  and  $Q$
- $\exists P F$  can be seen as **forgetting** about  $P$  in  $F$ 
  - It expresses nothing about  $P$

$$\text{preds}(\exists P F) \subseteq Q$$

- It expresses the same as  $F$  about  $Q$

$$\text{If } \text{preds}(G) \subseteq Q, \text{ then } F \models G \text{ iff } \exists P F \models G$$

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## Deciding Satisfiability

$$\exists P_1 F \models \forall P_2 G \text{ iff } F \models G$$

if members of  $P_1, P_2$  do not occur free

$$F \models \perp \text{ iff } \exists P F \models \perp$$

- **Applying SOQE to  $\exists P F$**  with  $P = \text{preds}(F)$  leads to  $\top, \perp$ , a formula with equality that constrains domain cardinality, or failure
- ▶ [*Behmann: Beitr. zur Alg. der Logik, insbes. zum Entscheidungsprob., 1922*]

## Computing Correspondences

$$\forall p (\Box p \rightarrow p)$$

**Hilbert-style axiom** T

$$\equiv \forall p \forall x (\forall y (rxy \rightarrow py) \rightarrow px)$$

Standard translation

$$\equiv \neg \exists x \exists p (\forall y (rxy \rightarrow py) \wedge \neg px)$$

$$\equiv \neg \exists x \neg rxx$$

SOQE

$$\equiv \forall x rxx$$

**Frame condition** reflexivity

- ▶ Sahlqvist-van-Benthem algorithm  
see [*Blackburn et al.: Modal Logic, 2001*]
- ▶ SQEMA, ALBA, unified correspondence  
[*Conradie; Goranko; Vakarelov; Ghilardi; Palmigiano; Zhao 2006ff*]
- ▶ Proof theory, display logic  
[*Palmigiano; Conradie; Zhao 2017*]
- ▶ MQSEL, Ackermann approach  
[*Schmidt: The Ackermann approach for modal logic . . . , 2012*]



## Circumscription

- ▶ Nonmonotonic reasoning by restricting semantics to **minimal models**  
[McCarthy, Lifschitz, 1980s]

The **circumscription** of predicate  $p$  in formula  $F[p]$  can be defined as

$$F[p] \wedge \neg \exists p' (F[p'] \wedge p' < p)$$

where  $p' < p$  stands for  $\forall x (px \rightarrow p'x) \wedge \neg \forall x (p'x \rightarrow px)$

- ▶ **Computing circumscription** can be understood as SOQE  
[Doherty, Łukaszewicz, Szalas: *Computing circumscription revisited*, 1997]
- ▶ [Partial] stable models can be expressed in terms of circumscription  
[Lin 1991; Janhunen et al. 1996; W 2010]  
[W: *Abduction in logic programming as SOQE*, 2013]

## Knowledge-Base Modularization

- ▶ [Ghilardi, Lutz, Wolter: *Did I damage my ontology?*, 2006]

$G$  is a  $Q$ -conservative extension of  $F$  iff

$$G \models F \quad \text{and} \quad F \models \exists P G$$

- ▶ [Grau et al.: *Extracting Modules from Ontologies*, 2009]

$F$  is a  $Q$ -module in  $G$  iff

$$G \models F \quad \text{and} \quad \text{for all } H \text{ with } \text{preds}(H) \subseteq Q: G \models H \text{ iff } F \models H$$

$F$  is a  $Q$ -module in  $G$  iff  $G$  is a  $Q$ -conservative extension of  $F$

$\exists P G$  is the weakest  $Q$ -module in  $G$

- ▶ [Lutz, Wolter: *Found. for unif. interpol. and forg. in expressive DLs*, 2011]
- ▶ [Koopmann, Schmidt: *Forg. Concept and Role Symb. in  $\mathcal{ALCH}$ -Ont.*, 2013]

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## Craig Interpolation and Computation of Definientia

For first-order  $F, G$  such that  $F \models G$  there exists a first-order  $H$  s.th.

1.  $F \models H \models G$
2.  $\text{vocab}(H) \subseteq \text{vocab}(F) \cap \text{vocab}(G)$ 
  - $H$  can be extracted from a proof of  $F \models G$

$H$  is a **definiens** of  $G$  in  $F$  in terms of  $Q$  iff

1.  $F \models \forall x (G \leftrightarrow H)$
2.  $\text{preds}(H) \subseteq Q$

$H$  is a **definiens** of  $G$  in  $F$  in terms of  $Q$  iff

1.  $\exists P (F \wedge G) \models H \models \neg \exists P (F \wedge \neg G)$
2.  $\text{preds}(H) \subseteq Q$

**For first-order  $F, G$  definientia can be constructed as Craig interpolants**

## Strongest Necessary and Weakest Sufficient Condition

$H$  is a definiens of  $G$  in  $F$  in terms of  $Q$  iff

1.  $\exists P(F \wedge G) \models H \models \neg \exists P(F \wedge \neg G)$
2.  $\text{preds}(H) \subseteq Q$

$$\text{SNC}_Q(F, G) \stackrel{\text{def}}{=} \exists P(F \wedge G)$$

$$\text{WSC}_Q(F, G) \stackrel{\text{def}}{=} \neg \exists P(F \wedge \neg G)$$

The strongest  $X$  in  $Q$  s.th.  $F \wedge G \models X$

The weakest  $X$  in  $Q$  s.th.  $F \wedge X \models G$

- Abduction, approximation
  - ▶ [Lin: *On SN and WSCs*, 2001] (slightly different, not “semantical”)
  - ▶ [Doherty, Łukaszewicz, Szałas: *Comp. SN and WSCs of f.-o. formulas*, 2001]
  - ▶ [W: *Projection and scope-determined circumscription*, 2012]

## Consideration of Polarity, Forgetting about a Ground Atom

- For a **Craig-Lyndon interpolant** also the **polarity** of predicate occurrences is considered in **vocab**

- $F \models H \models G$
- $\text{vocab}(H) \subseteq \text{vocab}(F) \cap \text{vocab}(G)$

- Quantification upon a predicate in its negative polarity**

$$\exists -p F[p] \stackrel{\text{def}}{=} \exists p' (F[p'] \wedge \forall x (p'x \rightarrow px))$$

- ▶ [Lang, Liberatore, Marquis: *Propositional Independence*, 2003]
- ▶ [W: *Literal projection for first-order logic*, 2008]

- Quantification upon a single ground atom**

$$\exists pa F[p] \stackrel{\text{def}}{=} \exists p' (F[p'] \wedge \forall x (x \neq a \rightarrow (p'x \leftrightarrow px)))$$

- ▶ [Lin, Reiter: *Forget it!*, 1994] Elimenable by expansion

$$\exists pa F[p] \equiv F[\lambda x.(x = a \vee px)] \vee F[\lambda x.(x \neq a \wedge px)]$$

## Ackermann's Generalized Elimination Resultant

[Ackermann: *Untersuchungen über das Eliminationsproblem der mathematischen Logik*, 1935]

If  $F$  is universal, then  $\exists p F$  is equivalent to a (possibly infinite) conjunction of a **recursive** set of first-order formulas

$$\begin{aligned} & \neg \forall p [(pa \wedge \forall xy [(px \wedge sxy) \rightarrow py]) \rightarrow pb] \\ \equiv & \exists p (pa \wedge \neg pb \wedge \forall xy (\neg px \vee py \vee \neg sxy)) \\ \equiv & a \neq b \\ & \wedge \neg sab \\ & \wedge \forall x_1 (\neg sax_1 \vee \neg sx_1b) \\ & \wedge \forall x_1 x_2 (\neg sax_1 \vee \neg sx_1x_2 \vee \neg sx_2b) \\ & \wedge \forall x_1 x_2 x_3 (\neg sax_1 \vee \neg sx_1x_2 \vee \neg sx_2x_3 \vee \neg sx_3b) \\ & \vdots \end{aligned}$$

## Consequences in a Subvocabulary

[Craig: *Bases for first-order theories and subtheories*, 1960]

Find a **recursive** set of first-order formulas that has the **same first-order consequences** as  $\exists P F$

- Weaker notion as equivalence [Craig 1960]
- ▶ Forgetting on the basis of an abstract “axiomatized” consequence operator [Delgrande: *A knowledge level account of forgetting*, 2017]



## SOQE by Witnesses / Boolean Solution Problem / Boolean Unification

An **SOQE-witness** of  $P$  in  $\exists P F[P]$

is a sequence  $G$  of formulas s.th. substitutable... (no free members of  $P$ ) and

$$\exists P F[P] \equiv F[G]$$

$F[G]$  for  $F[p_1 \mapsto \lambda x.G_1(x), \dots, p_n \mapsto \lambda x.G_n(x)]$  followed by  $\beta$ -reducing

A **(particular) solution** of a **solution problem (SP)**  $F[P]$

is a sequence  $G$  of formulas s.th. substitutable... (no free members of  $P$ ) and

$$\models F[G]$$

- ▶ *Auflösungsproblem* [Schröder: *Algebra der Logik*, 1890–1905]
- Finding a solution can also be understood as **E-unification** with constants in the theory of **Boolean algebra** (or logical equivalence)
- Cases related to **definability** that allow computation of solutions:  
 $p$  definable in  $F$ ;  $p$  definable in  $\neg F$ ;  $pa$  definable in  $\neg F$ ;  $p$  nullary

## The Boolean Solution Problem: Further Aspects

Given  $F[p_1 \dots p_n]$ , find  $G_1 \dots G_n$  s.th.  $\models F[G_1 \dots G_n]$

- ▶ [Schröder, Löwenheim, Behmann]
- ▶ [Rudeanu: *Boolean Functions and Equations*, 1974]
- ▶ Boolean unification [Baader 1998]
- ▶ Quantifier-free [Eberhard, Hetzl, Weller: *Boolean unif. w. predicates*, 2017]
- ▶ [W: *The Boolean solution problem from the persp. of pred. logic*, 2017]
- Solutions of an  **$n$ -ary SP** can be expressed as solutions of  **$n$  unary SPs** on certain **existential second-order** formulas
  - ▶ Boole's method of successive eliminations
- Assume that a unary SP  $F[p]$  has a solution iff  $\models \exists p F[p]$ :

For propositional logic:  $\exists p F[p] \equiv F[F[\top]]$

$\exists P F[P]$  is the weakest formula  $X$  in which no member of  $P$  occurs free s.th.

$(X \rightarrow F[P])[P]$  has a solution

- **Reproductive solutions as most general solutions**

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## Basic SOQE Methods for Propositional Logic

- “Expansion”

$$\exists p F[p] \equiv \exists p ((p \wedge F[\top]) \vee (\neg p \wedge F[\perp])) \equiv F[\top] \vee F[\perp]$$

- “Replace-by-resolvents”

$$\exists p ((p \vee F) \wedge (\neg p \vee G) \wedge H) \equiv (F \vee G) \wedge H$$

- “Strike-out-from-DNF”

$$\exists p ((p \wedge F) \vee (\neg p \wedge G) \vee H) \equiv F \vee G \vee H$$

## Behmann's Method for Relational Monadic Formulas

- ▶ [*Behmann: Beitr. zur Alg. der Logik, insbes. zum Entscheidungsprob., 1922*]
- ▶ [*W: Heinrich Behmann's contributions to SOQE, 2015*]

Operates by **equivalence preserving formula rewriting**

1. Normalization of input  $\exists p F$  to **“innex form”** w.r.t. first-order quantifiers
  - Propagate quantifiers inwards such that their **scopes do not overlap**
  - This is possible for RELMON and with **counting quantifiers** for RELMON with equality
  - Requires **expensive rewritings** such as distribution among  $\wedge$  and  $\vee$
  - Stronger than **antiprenexing**, e.g., [*Egly: 1994*]
2. Normalization such that **subformulas**  $\exists p \dots$  are in *Eliminationshauptform*
  - Involves potentially expensive inward propagation of  $\exists p$
3. Conversion to match the left side of the **“Basic Elimination Lemma”**

$$\exists p (\forall x (F \vee px) \wedge \forall x (G \vee \neg px)) \equiv \forall x (F \vee G)$$

4. **Elimination by applying the Basic Elimination Lemma**
5. Postprocessing

## Behmann's Method – A Look at some Internals

- Propagating quantifiers inwards such that their scopes do not overlap

$$\begin{aligned} & \forall x (px \vee (qx \wedge \exists y ry)) \\ \equiv & \forall x ((px \vee qx) \wedge (px \vee \exists y ry)) \\ \equiv & \forall x (px \vee qx) \wedge \forall z (pz \vee \exists y ry) \\ \equiv & \forall x (px \vee qx) \wedge (\forall z pz \vee \exists y ry) \end{aligned}$$

- The *Eliminationshauptform*, basis for  $\exists p (\forall x (F \vee px) \wedge \forall x (G \vee \neg px))$

$$\begin{aligned} \exists p & (\bigwedge_{1 \leq i \leq a} \forall x (A_i[x] \vee px) \quad \wedge \\ & \bigwedge_{1 \leq i \leq b} \forall x (B_i[x] \vee \neg px) \quad \wedge \\ & \bigwedge_{1 \leq i \leq c} \exists x (C_i[x] \wedge px) \quad \wedge \\ & \bigwedge_{1 \leq i \leq d} \exists x (D_i[x] \wedge \neg px)) \end{aligned}$$

- Conversions might require introduction of equality

$$pt \equiv \forall x (x \neq t \vee px)$$

$$pt \equiv \exists x (x = t \wedge px)$$



## Behmann – Notes on Entangled Chainings of Quantifiers, 1926



- *I believe to have thought through for some simple expressions of that kind that they can be replaced by no logically equivalent expression that is constructed only from individual quantifiers,  $\alpha$ ,  $\beta$ ,  $\gamma$  and identity*
- *would be very grateful for clarification*

- *led the investigation to a certain point some years ago*
- *will send this to you as soon as I return*
- *became aware only between talk and correction*

- *took a load from my mind since I already struggled a lot with the elimination problem in the case where all individual quantifiers are universal*

## Ackermann's Generalized Elimination Resultant

[Ackermann: *Untersuchungen über das Eliminationsproblem der mathematischen Logik*, 1935]

If  $F$  is universal, then  $\exists p F$  is equivalent to a (possibly infinite) conjunction of a **recursive** set of first-order formulas

$$\begin{aligned} & \neg \forall p [(pa \wedge \forall xy [(px \wedge sxy) \rightarrow py]) \rightarrow pb] \\ \equiv & \exists p (pa \wedge \neg pb \wedge \forall xy (\neg px \vee py \vee \neg sxy)) \\ \equiv & a \neq b \\ & \wedge \neg sab \\ & \wedge \forall x_1 (\neg sax_1 \vee \neg sx_1b) \\ & \wedge \forall x_1 x_2 (\neg sax_1 \vee \neg sx_1x_2 \vee \neg sx_2b) \\ & \wedge \forall x_1 x_2 x_3 (\neg sax_1 \vee \neg sx_1x_2 \vee \neg sx_2x_3 \vee \neg sx_3b) \\ & \vdots \end{aligned}$$

## SCAN (“Synthesizing Correspondence Axioms for Normal logics”)

► [Gabbay, Ohlbach: *Quantifier elim. in second-order predicate logic*, 1992]

1. **Converting the input  $\exists P F$  to CNF**, including Skolemization
2. **Applying C-resolution** (constraint-resolution) upon predicates in  $P$ 
  - **Adding** non-redundant C-resolvents and C-factors

$$\frac{C \vee ps \quad \neg pt \vee D \quad \text{distinct clauses}}{C \vee D \vee s \neq t}$$

$$\frac{C \vee ps \vee pt}{C \vee ps \vee s \neq t}$$

- **Removing** clauses with a  $P$ -literal upon which no non-redundant inference can be performed
  - Might not terminate
3. **Unskolemization**
    - Might fail or lead just to Henkin quantifiers

► [Engel: *Quantifier elim. in second-order predicate logic*, 1996]

► <http://www.mettel-prover.org/scan/>

► [Goranko et al.: *SCAN is complete for all Sahlqvist formulae*, 2004]

► Variant for Herbrand semantics, atom patterns instead of constraints  
[W: *Semantic knowledge partitioning*, 2004]

## Ackermann's Lemma

- Second-order quantification allows to understand the introduction and elimination of definitions as **equivalence preserving** transformations

$$\exists p (\forall x (px \leftrightarrow G) \wedge F[p]) \equiv F[G]$$

$F[G]$  for  $F[p \mapsto \lambda x. G(x)]$  followed by  $\beta$ -reducing

- If  $p$  occurs in  $F$  only in a **single polarity**, then another result of [Ackermann 1935], **Ackermann's Lemma**, applies

$$\exists p (\forall x (px \rightarrow G) \wedge F[+p]) \equiv F[G]$$

$$\exists p (\forall x (px \leftarrow G) \wedge F[-p]) \equiv F[G]$$

## DLS (Direct Methods, Ackermann Approach)

- ▶ [Szalas: *On the correspondence between modal and classical logic*, 1993]
  - ▶ [Doherty, Łukaszewicz, Szalas: *Computing circumscription revisited*, 1997]
1. **Preprocessing** the input  $\exists p F$  to obtain subformulas  $\exists p (A[+p] \wedge B[-p])$ 
    - Might fail [W: If a formula is equivalent to  $A[+p] \wedge B[-p]$ , such conjuncts can be obtained by Craig-Lyndon interpolation]
  2. **Preparation for Ackermann's Lemma**, for each such subformula
    - Might involve Skolemization
    - $\exists p (\forall x (px \rightarrow B') \wedge A''[+p])$  and  $\exists p (\forall x (px \leftarrow A') \wedge B''[-p])$  should both be tried: need of Skolemization, size
  3. **Application of Ackermann's Lemma**: Rewriting with  $A''[B']$  or  $B''[A']$ , resp., and unskolemization
    - Unskolemization might fail or lead just to Henkin quantifiers
  4. **Simplification**
    - ▶ [Gustafsson: *An implementation ...*, 1996]
    - ▶ [Conradie: *On the strength and scope of DLS*, 2006]

## DLS – A Look at the Introduction of Skolemization

$$\begin{aligned} & \exists p (A[+p] \wedge B[-p]) \\ = & \exists p (\forall x [(pax \vee pbx) \leftarrow qx] \wedge B) \\ \equiv & \exists p (\forall x \exists u [\forall y (pyx \leftarrow [u = y \wedge qx]) \wedge (u = a \vee u = b)] \wedge B) \\ \equiv & \exists f \exists p (\forall x [\forall y (pyx \leftarrow [fx = y \wedge qx]) \wedge (fx = a \vee fx = b)] \wedge B) \\ \equiv & \exists f \exists p (\forall xy [pyx \leftarrow \underbrace{(fx = y \wedge qx)}_{A'}] \wedge \underbrace{\forall z [fz = a \vee fz = b] \wedge B}_{B''}) \\ = & \exists f \exists p (\forall xy (pxy \leftarrow A') \wedge B''[-p]) \end{aligned}$$



## Fixpoint Generalization of Ackermann's Lemma, DLS\*

- **Generalized Ackermann's Lemma for Fixpoint Logic**

[Nonnengart, Szalas: A fixpoint approach to SOQE, 1998]

$$\exists p (\forall x (px \rightarrow G[+p]) \wedge F[+p]) \equiv F[\text{GFP } px.G[+p]]$$

$$\exists p (\forall x (px \leftarrow G[+p]) \wedge F[-p]) \equiv F[\text{LFP } px.G[+p]]$$

- Example

$$\begin{aligned} & \neg \exists z \exists p (\forall x (px \leftarrow (x = 0 \vee \exists y (syx \wedge py))) \wedge \neg pz) \\ \equiv & \neg \exists z \neg \text{LFP } pz.(z = 0 \vee \exists y (syz \wedge py)) \end{aligned}$$

► [Koopmann, Schmidt: Uniform interp. of  $\mathcal{ALC}$ -ontol. using fixpoints, 2013]

## Ackermann's Quantifier Switching

- “Ackermann's Quantifier Switching”

[Ackermann: *Zum Eliminationsproblem der mathematischen Logik*, 1935]

$$\exists p \forall x F[pxt_1, \dots, pxt_n] \equiv \forall x \exists p' F[p't_1, \dots, p't_n]$$

- Avoiding Skolemization, allowing monadic techniques, Ackermann's Lemma
- Right-to-left to achieve prenex form w.r.t. second-order quantifiers
- Can be applied to decide  $\mathcal{ALC}$   
[W: *SOQE on relational monadic formulas*, 2015]

$$\begin{aligned} & \exists c_1 \dots c_n \exists d_1 \dots d_k \exists r_1 \dots r_m \forall x F \\ \equiv & \exists c_1 \dots c_n \exists d_1 \dots d_k \forall x \exists r'_1 \dots r'_m F[r_i xt \mapsto r'_i t] \end{aligned}$$

## Craig's Setting: Approximating Resultants

- Instance-based theorem proving, Herbrand's theorem

$$H_1 \Rightarrow H_2 \Rightarrow H_3 \Rightarrow \dots \Rightarrow \forall \mathbf{x} H$$

If  $\forall \mathbf{x} H \models \perp$ , then there exists a  $k \geq 1$  s.th.  $H_k \models \perp$

- An analogous setting for elimination**

Adapted from [Craig: *Bases for First-Order Theories and Subtheories*, 1960]

From  $\exists P F$  construct a **recursive** set of first-order formulas

$$G_i = \text{Prefix}_i \text{ELIM}(\exists P \text{Matrix}_i)$$

s.th.

$$G_1 \Rightarrow G_2 \Rightarrow G_3 \Rightarrow \dots \Rightarrow \exists P F$$

and for all first-order  $H$  s.th.  $\exists P F \models H$  there exists a  $k \geq 1$  s.th.  $G_k \models H$

$$\begin{aligned} \exists P F &\models \exists P \text{Prefix}_i \text{Matrix}_i \\ &\models \text{Prefix}_i \exists P \text{Matrix}_i \\ &\equiv \text{Prefix}_i \text{ELIM}(\exists P \text{Matrix}_i) \models H \end{aligned}$$

- A construction for universal  $F$  that leads to additional properties of the  $G_i$   
[W: *Approximating resultants of existential SOQE*, 2017]

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## Summary

- **Applications**
  - Correspondences, Circumscription, Conservative extensions
- **Variations and Related Operations**
  - Craig interpolation, Definientia, SNC and WSC
  - Consideration of polarity and single ground atoms
  - Ackermann's generalized resultant, Consequences in a subvocabulary
  - Witnesses, Boolean solution problem, Boolean unification
- **Methods**
  - Propositional methods
  - Behmann's method for relational monadic formulas
  - The road to Ackermann's results
  - SCAN
  - Ackermann's lemma, DLS
  - Ackermann's quantifier switching
  - Craig's setting: approximating elimination

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