

Second-Order Quantifier Elimination – Applications, Variations and Methods

Christoph Wernhard

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- 1. Introduction**
2. Some Applications of SOQE
3. Variations of SOQE and Related Operations
4. SOQE Methods
5. Conclusion

Second-Order Quantifier Elimination (SOQE)

Input: A formula **with second-order quantifiers**

Output: An **equivalent** formula **without second-order quantifiers**

$$\begin{aligned}\exists p ((q \rightarrow p) \wedge (p \rightarrow r)) &\equiv ((q \rightarrow \perp) \wedge (\perp \rightarrow r)) \\ &\vee ((q \rightarrow \top) \wedge (\top \rightarrow r)) \\ &\equiv q \rightarrow r\end{aligned}$$

$$\exists p (\forall x (qx \rightarrow px) \wedge \forall x (px \rightarrow rx)) \equiv \forall x (qx \rightarrow rx)$$

$$\exists p ((q \rightarrow pa) \wedge \forall x (px \rightarrow rx)) \equiv q \rightarrow ra$$

$$\exists p ((q \rightarrow pa) \wedge (pb \rightarrow r)) \equiv a = b \rightarrow (q \rightarrow r)$$

SOQE Timeline

- 19th century: Algebra of Logic (Boole, Peirce, **Schröder**)
- 1910-20s: Early modern predicate logic (Löwenheim, Skolem, Behmann)
- **1935: Ackermann**
- 1950s: Craig
- **1990s: SCAN (Gabbay, Ohlbach), DLS (Doherty, Łukaszewicz, Szałas)**
- 2008: Monograph (Gabbay, Schmidt, Szałas)
- 2017: Workshop SOQE
- Major application areas in computational logic:
 - Modal correspondence
 - Circumscription
 - Description logic knowledge bases:
Forgetting / uniform interpolation, modularization

Predicate Quantification: Basic Properties and Intuitions

- We split the set of all predicates into disjoint sets P and Q
- $\exists P F$ can be seen as **forgetting** about P in F
 - It expresses nothing about P

$$\text{preds}(\exists P F) \subseteq Q$$

- It expresses the same as F about Q

$$\text{If } \text{preds}(G) \subseteq Q, \text{ then } F \models G \text{ iff } \exists P F \models G$$

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Deciding Satisfiability

$$\exists P_1 F \models \forall P_2 G \quad \text{iff} \quad F \models G$$

if members of P_1, P_2 do not occur free

$$F \models \perp \quad \text{iff} \quad \exists P F \models \perp$$

- **Applying SOQE to $\exists P F$** with $P = \text{preds}(F)$ leads to \top , \perp , a formula with equality that constrains domain cardinality, or failure
- ▶ [*Behmann: Beitr. zur Alg. der Logik, insbes. zum Entscheidungsprob., 1922*]

Computing Correspondences

$\forall p (\Box p \rightarrow p)$	Hilbert-style axiom T
$\equiv \forall p \forall x (\forall y (rxy \rightarrow py) \rightarrow px)$	Standard translation
$\equiv \neg \exists x \exists p (\forall y (rxy \rightarrow py) \wedge \neg px)$	
$\equiv \neg \exists x \neg rxx$	SOQE
$\equiv \forall x rxx$	Frame condition reflexivity

- ▶ Sahlqvist-van-Benthem algorithm
see [*Blackburn et al.: Modal Logic, 2001*]
- ▶ SQEMA, ALBA, unified correspondence
[*Conradie; Goranko; Vakarelov; Ghilardi; Palmigiano; Zhao 2006ff*]
- ▶ Proof theory, display logic
[*Palmigiano; Conradie; Zhao 2017*]
- ▶ MQSEL, Ackermann approach
[*Schmidt: The Ackermann approach for modal logic . . . , 2012*]

Circumscription

- ▶ Nonmonotonic reasoning by restricting semantics to **minimal models**
[McCarthy, Lifschitz, 1980s]

The **circumscription** of predicate p in formula $F[p]$ can be defined as

$$F[p] \wedge \neg \exists p' (F[p'] \wedge p' < p)$$

where $p' < p$ stands for $\forall x (px \rightarrow p'x) \wedge \neg \forall x (p'x \rightarrow px)$

- ▶ **Computing circumscription** can be understood as SOQE
[Doherty, Łukaszewicz, Szalas: *Computing circumscription revisited*, 1997]
- ▶ [Partial] stable models can be expressed in terms of circumscription
[Lin 1991; Janhunen et al. 1996; W 2010]
[W: *Abduction in logic programming as SOQE*, 2013]

Knowledge-Base Modularization

- ▶ [Ghilardi, Lutz, Wolter: *Did I damage my ontology?*, 2006]

G is a Q -conservative extension of F iff

$$G \models F \quad \text{and} \quad F \models \exists P G$$

- ▶ [Grau et al.: *Extracting Modules from Ontologies*, 2009]

F is a Q -module in G iff

$$G \models F \quad \text{and} \quad \text{for all } H \text{ with } \text{preds}(H) \subseteq Q: G \models H \text{ iff } F \models H$$

F is a Q -module in G iff G is a Q -conservative extension of F

$\exists P G$ is the weakest Q -module in G

- ▶ [Lutz, Wolter: *Found. for unif. interpol. and forg. in expressive DLs*, 2011]
- ▶ [Koopmann, Schmidt: *Forg. Concept and Role Symb. in \mathcal{ALCH} -Ont.*, 2013]

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Craig Interpolation and Computation of Definientia

For first-order F, G such that $F \models G$ there exists a first-order H s.th.

1. $F \models H \models G$
2. $\text{vocab}(H) \subseteq \text{vocab}(F) \cap \text{vocab}(G)$
 - H can be extracted from a proof of $F \models G$

H is a **definiens** of G in F in terms of Q iff

1. $F \models \forall x (G \leftrightarrow H)$
2. $\text{preds}(H) \subseteq Q$

H is a **definiens** of G in F in terms of Q iff

1. $\exists P (F \wedge G) \models H \models \neg \exists P (F \wedge \neg G)$
2. $\text{preds}(H) \subseteq Q$

For first-order F, G definientia can be constructed as Craig interpolants

Strongest Necessary and Weakest Sufficient Condition

H is a definiens of G in F in terms of Q iff

1. $\exists P(F \wedge G) \models H \models \neg \exists P(F \wedge \neg G)$
2. $\text{preds}(H) \subseteq Q$

$$\text{SNC}_Q(F, G) \stackrel{\text{def}}{=} \exists P(F \wedge G)$$

$$\text{WSC}_Q(F, G) \stackrel{\text{def}}{=} \neg \exists P(F \wedge \neg G)$$

The strongest X in Q s.th. $F \wedge G \models X$

The weakest X in Q s.th. $F \wedge X \models G$

- Abduction, approximation
 - ▶ [Lin: *On SN and WSCs*, 2001] (slightly different, not “semantical”)
 - ▶ [Doherty, Łukaszewicz, Szałas: *Comp. SN and WSCs of f.-o. formulas*, 2001]
 - ▶ [W: *Projection and scope-determined circumscription*, 2012]

Consideration of Polarity, Forgetting about a Ground Atom

- For a **Craig-Lyndon interpolant** also the **polarity** of predicate occurrences is considered in **vocab**

- $F \models H \models G$
- $\text{vocab}(H) \subseteq \text{vocab}(F) \cap \text{vocab}(G)$

- Quantification upon a predicate in its negative polarity**

$$\exists -p F[p] \stackrel{\text{def}}{=} \exists p' (F[p'] \wedge \forall x (p'x \rightarrow px))$$

- ▶ [Lang, Liberatore, Marquis: *Propositional Independence*, 2003]
- ▶ [W: *Literal projection for first-order logic*, 2008]

- Quantification upon a single ground atom**

$$\exists pa F[p] \stackrel{\text{def}}{=} \exists p' (F[p'] \wedge \forall x (x \neq a \rightarrow (p'x \leftrightarrow px)))$$

- ▶ [Lin, Reiter: *Forget it!*, 1994] Elimenable by expansion

$$\exists pa F[p] \equiv F[\lambda x.(x = a \vee px)] \vee F[\lambda x.(x \neq a \wedge px)]$$

Ackermann's Generalized Elimination Resultant

[Ackermann: *Untersuchungen über das Eliminationsproblem der mathematischen Logik*, 1935]

If F is universal, then $\exists p F$ is equivalent to a (possibly infinite) conjunction of a **recursive** set of first-order formulas

$$\begin{aligned} & \neg \forall p [(pa \wedge \forall xy [(px \wedge sxy) \rightarrow py]) \rightarrow pb] \\ \equiv & \exists p (pa \wedge \neg pb \wedge \forall xy (\neg px \vee py \vee \neg sxy)) \\ \equiv & a \neq b \\ & \wedge \neg sab \\ & \wedge \forall x_1 (\neg sax_1 \vee \neg sx_1b) \\ & \wedge \forall x_1 x_2 (\neg sax_1 \vee \neg sx_1x_2 \vee \neg sx_2b) \\ & \wedge \forall x_1 x_2 x_3 (\neg sax_1 \vee \neg sx_1x_2 \vee \neg sx_2x_3 \vee \neg sx_3b) \\ & \vdots \end{aligned}$$

Consequences in a Subvocabulary

[Craig: *Bases for first-order theories and subtheories*, 1960]

Find a **recursive** set of first-order formulas that has the **same first-order consequences** as $\exists P F$

- Weaker notion as equivalence [Craig 1960]
- ▶ Forgetting on the basis of an abstract “axiomatized” consequence operator [Delgrande: *A knowledge level account of forgetting*, 2017]

SOQE by Witnesses / Boolean Solution Problem / Boolean Unification

An **SOQE-witness** of P in $\exists P F[P]$

is a sequence G of formulas s.th. substitutable... (no free members of P) and

$$\exists P F[P] \equiv F[G]$$

$F[G]$ for $F[p_1 \mapsto \lambda x.G_1(x), \dots, p_n \mapsto \lambda x.G_n(x)]$ followed by β -reducing

A **(particular) solution** of a **solution problem (SP)** $F[P]$

is a sequence G of formulas s.th. substitutable... (no free members of P) and

$$\models F[G]$$

- ▶ *Auflösungsproblem* [Schröder: *Algebra der Logik*, 1890–1905]
- Finding a solution can also be understood as **E-unification** with constants in the theory of **Boolean algebra** (or logical equivalence)
- Cases related to **definability** that allow computation of solutions:
 p definable in F ; p definable in $\neg F$; pa definable in $\neg F$; p nullary

The Boolean Solution Problem: Further Aspects

Given $F[p_1 \dots p_n]$, find $G_1 \dots G_n$ s.th. $\models F[G_1 \dots G_n]$

- ▶ [Schröder, Löwenheim, Behmann]
- ▶ [Rudeanu: *Boolean Functions and Equations*, 1974]
- ▶ Boolean unification [Baader 1998]
- ▶ Quantifier-free [Eberhard, Hetzl, Weller: *Boolean unif. w. predicates*, 2017]
- ▶ [W: *The Boolean solution problem from the persp. of pred. logic*, 2017]
- Solutions of an **n -ary SP** can be expressed as solutions of **n unary SPs** on certain **existential second-order** formulas
 - ▶ Boole's method of successive eliminations
- Assume that a unary SP $F[p]$ has a solution iff $\models \exists p F[p]$:

For propositional logic: $\exists p F[p] \equiv F[F[\top]]$

$\exists P F[P]$ is the weakest formula X in which no member of P occurs free s.th.

$(X \rightarrow F[P])[P]$ has a solution

- **Reproductive solutions as most general solutions**

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Basic SOQE Methods for Propositional Logic

- “Expansion”

$$\exists p F[p] \equiv \exists p ((p \wedge F[\top]) \vee (\neg p \wedge F[\perp])) \equiv F[\top] \vee F[\perp]$$

- “Replace-by-resolvents”

$$\exists p ((p \vee F) \wedge (\neg p \vee G) \wedge H) \equiv (F \vee G) \wedge H$$

- “Strike-out-from-DNF”

$$\exists p ((p \wedge F) \vee (\neg p \wedge G) \vee H) \equiv F \vee G \vee H$$

Behmann's Method for Relational Monadic Formulas

- ▶ [*Behmann: Beitr. zur Alg. der Logik, insbes. zum Entscheidungsprob., 1922*]
- ▶ [*W: Heinrich Behmann's contributions to SOQE, 2015*]

Operates by **equivalence preserving formula rewriting**

1. Normalization of input $\exists p F$ to **“innex form”** w.r.t. first-order quantifiers
 - Propagate quantifiers inwards such that their **scopes do not overlap**
 - This is possible for RELMON and with **counting quantifiers** for RELMON with equality
 - Requires **expensive rewritings** such as distribution among \wedge and \vee
 - Stronger than **antiprenexing**, e.g., [*Egly: 1994*]
2. Normalization such that **subformulas** $\exists p \dots$ are in *Eliminationshauptform*
 - Involves potentially expensive inward propagation of $\exists p$
3. Conversion to match the left side of the **“Basic Elimination Lemma”**

$$\exists p (\forall x (F \vee px) \wedge \forall x (G \vee \neg px)) \equiv \forall x (F \vee G)$$

4. **Elimination by applying the Basic Elimination Lemma**
5. Postprocessing

Behmann's Method – A Look at some Internals

- Propagating quantifiers inwards such that their scopes do not overlap

$$\begin{aligned} & \forall x (px \vee (qx \wedge \exists y ry)) \\ \equiv & \forall x ((px \vee qx) \wedge (px \vee \exists y ry)) \\ \equiv & \forall x (px \vee qx) \wedge \forall z (pz \vee \exists y ry) \\ \equiv & \forall x (px \vee qx) \wedge (\forall z pz \vee \exists y ry) \end{aligned}$$

- The *Eliminationshauptform*, basis for $\exists p (\forall x (F \vee px) \wedge \forall x (G \vee \neg px))$

$$\begin{aligned} \exists p & (\bigwedge_{1 \leq i \leq a} \forall x (A_i[x] \vee px) \quad \wedge \\ & \bigwedge_{1 \leq i \leq b} \forall x (B_i[x] \vee \neg px) \quad \wedge \\ & \bigwedge_{1 \leq i \leq c} \exists x (C_i[x] \wedge px) \quad \wedge \\ & \bigwedge_{1 \leq i \leq d} \exists x (D_i[x] \wedge \neg px)) \end{aligned}$$

- Conversions might require introduction of equality

$$pt \equiv \forall x (x \neq t \vee px)$$

$$pt \equiv \exists x (x = t \wedge px)$$

Behmann – Notes on Entangled Chainings of Quantifiers, 1926

- *I believe to have thought through for some simple expressions of that kind that they can be replaced by no logically equivalent expression that is constructed only from individual quantifiers, α , β , γ and identity*
- *would be very grateful for clarification*

- *led the investigation to a certain point some years ago*
- *will send this to you as soon as I return*
- *became aware only between talk and correction*

- *took a load from my mind since I already struggled a lot with the elimination problem in the case where all individual quantifiers are universal*

Ackermann's Generalized Elimination Resultant

[Ackermann: *Untersuchungen über das Eliminationsproblem der mathematischen Logik*, 1935]

If F is universal, then $\exists p F$ is equivalent to a (possibly infinite) conjunction of a **recursive** set of first-order formulas

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SCAN (“Synthesizing Correspondence Axioms for Normal logics”)

► [Gabbay, Ohlbach: *Quantifier elim. in second-order predicate logic*, 1992]

1. **Converting the input $\exists P F$ to CNF**, including Skolemization
2. **Applying C-resolution** (constraint-resolution) upon predicates in P
 - **Adding** non-redundant C-resolvents and C-factors

$$\frac{C \vee ps \quad \neg pt \vee D \quad \text{distinct clauses}}{C \vee D \vee s \neq t}$$

$$\frac{C \vee ps \vee pt}{C \vee ps \vee s \neq t}$$

- **Removing** clauses with a P -literal upon which no non-redundant inference can be performed
 - Might not terminate
3. **Unskolemization**
 - Might fail or lead just to Henkin quantifiers

► [Engel: *Quantifier elim. in second-order predicate logic*, 1996]

► <http://www.mettel-prover.org/scan/>

► [Goranko et al.: *SCAN is complete for all Sahlqvist formulae*, 2004]

► Variant for Herbrand semantics, atom patterns instead of constraints
[W: *Semantic knowledge partitioning*, 2004]

Ackermann's Lemma

- Second-order quantification allows to understand the introduction and elimination of definitions as **equivalence preserving** transformations

$$\exists p (\forall x (px \leftrightarrow G) \wedge F[p]) \equiv F[G]$$

$F[G]$ for $F[p \mapsto \lambda x. G(x)]$ followed by β -reducing

- If p occurs in F only in a **single polarity**, then another result of [Ackermann 1935], **Ackermann's Lemma**, applies

$$\exists p (\forall x (px \rightarrow G) \wedge F[+p]) \equiv F[G]$$

$$\exists p (\forall x (px \leftarrow G) \wedge F[-p]) \equiv F[G]$$

DLS (Direct Methods, Ackermann Approach)

- ▶ [Szalas: *On the correspondence between modal and classical logic*, 1993]
 - ▶ [Doherty, Łukaszewicz, Szalas: *Computing circumscription revisited*, 1997]
1. **Preprocessing** the input $\exists p F$ to obtain subformulas $\exists p (A[+p] \wedge B[-p])$
 - Might fail [W: If a formula is equivalent to $A[+p] \wedge B[-p]$, such conjuncts can be obtained by Craig-Lyndon interpolation]
 2. **Preparation for Ackermann's Lemma**, for each such subformula
 - Might involve Skolemization
 - $\exists p (\forall x (px \rightarrow B') \wedge A''[+p])$ and $\exists p (\forall x (px \leftarrow A') \wedge B''[-p])$ should both be tried: need of Skolemization, size
 3. **Application of Ackermann's Lemma**: Rewriting with $A''[B']$ or $B''[A']$, resp., and unskolemization
 - Unskolemization might fail or lead just to Henkin quantifiers
 4. **Simplification**
 - ▶ [Gustafsson: *An implementation ...*, 1996]
 - ▶ [Conradie: *On the strength and scope of DLS*, 2006]

DLS – A Look at the Introduction of Skolemization

$$\begin{aligned} & \exists p (A[+p] \wedge B[-p]) \\ = & \exists p (\forall x [(pax \vee pbx) \leftarrow qx] \wedge B) \\ \equiv & \exists p (\forall x \exists u [\forall y (pyx \leftarrow [u = y \wedge qx]) \wedge (u = a \vee u = b)] \wedge B) \\ \equiv & \exists f \exists p (\forall x [\forall y (pyx \leftarrow [fx = y \wedge qx]) \wedge (fx = a \vee fx = b)] \wedge B) \\ \equiv & \exists f \exists p (\forall xy [pyx \leftarrow \underbrace{(fx = y \wedge qx)}_{A'}] \wedge \underbrace{\forall z [fz = a \vee fz = b] \wedge B}_{B''}) \\ = & \exists f \exists p (\forall xy (pxy \leftarrow A') \wedge B''[-p]) \end{aligned}$$

Fixpoint Generalization of Ackermann's Lemma, DLS*

- **Generalized Ackermann's Lemma for Fixpoint Logic**

[Nonnengart, Szalas: A fixpoint approach to SOQE, 1998]

$$\exists p (\forall x (px \rightarrow G[+p]) \wedge F[+p]) \equiv F[\text{GFP } px.G[+p]]$$

$$\exists p (\forall x (px \leftarrow G[+p]) \wedge F[-p]) \equiv F[\text{LFP } px.G[+p]]$$

- Example

$$\begin{aligned} & \neg \exists z \exists p (\forall x (px \leftarrow (x = 0 \vee \exists y (syx \wedge py))) \wedge \neg pz) \\ \equiv & \neg \exists z \neg \text{LFP } pz.(z = 0 \vee \exists y (syz \wedge py)) \end{aligned}$$

► [Koopmann, Schmidt: Uniform interp. of \mathcal{ALC} -ontol. using fixpoints, 2013]

Ackermann's Quantifier Switching

- “Ackermann's Quantifier Switching”

[Ackermann: *Zum Eliminationsproblem der mathematischen Logik*, 1935]

$$\exists p \forall x F[pxt_1, \dots, pxt_n] \equiv \forall x \exists p' F[p't_1, \dots, p't_n]$$

- Avoiding Skolemization, allowing monadic techniques, Ackermann's Lemma
- Right-to-left to achieve prenex form w.r.t. second-order quantifiers
- Can be applied to decide \mathcal{ALC}
[W: *SOQE on relational monadic formulas*, 2015]

$$\begin{aligned} & \exists c_1 \dots c_n \exists d_1 \dots d_k \exists r_1 \dots r_m \forall x F \\ \equiv & \exists c_1 \dots c_n \exists d_1 \dots d_k \forall x \exists r'_1 \dots r'_m F[r_i xt \mapsto r'_i t] \end{aligned}$$

Craig's Setting: Approximating Resultants

- Instance-based theorem proving, Herbrand's theorem

$$H_1 \Rightarrow H_2 \Rightarrow H_3 \Rightarrow \dots \Rightarrow \forall \mathbf{x} H$$

If $\forall \mathbf{x} H \models \perp$, then there exists a $k \geq 1$ s.th. $H_k \models \perp$

- An analogous setting for elimination**

Adapted from [Craig: *Bases for First-Order Theories and Subtheories*, 1960]

From $\exists P F$ construct a **recursive** set of first-order formulas

$$G_i = \text{Prefix}_i \text{ELIM}(\exists P \text{Matrix}_i)$$

s.th.

$$G_1 \Rightarrow G_2 \Rightarrow G_3 \Rightarrow \dots \Rightarrow \exists P F$$

and for all first-order H s.th. $\exists P F \models H$ there exists a $k \geq 1$ s.th. $G_k \models H$

$$\begin{aligned} \exists P F &\models \exists P \text{Prefix}_i \text{Matrix}_i \\ &\models \text{Prefix}_i \exists P \text{Matrix}_i \\ &\equiv \text{Prefix}_i \text{ELIM}(\exists P \text{Matrix}_i) \models H \end{aligned}$$

- A construction for universal F that leads to additional properties of the G_i
[W: *Approximating resultants of existential SOQE*, 2017]

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Summary

- **Applications**
 - Correspondences, Circumscription, Conservative extensions
- **Variations and Related Operations**
 - Craig interpolation, Definientia, SNC and WSC
 - Consideration of polarity and single ground atoms
 - Ackermann's generalized resultant, Consequences in a subvocabulary
 - Witnesses, Boolean solution problem, Boolean unification
- **Methods**
 - Propositional methods
 - Behmann's method for relational monadic formulas
 - The road to Ackermann's results
 - SCAN
 - Ackermann's lemma, DLS
 - Ackermann's quantifier switching
 - Craig's setting: approximating elimination

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